

(D1251 CSL)

LL.M. (Final) DEGREE EXAMINATION, DECEMBER – 2015

Second Year

CORPORATE & SECURITIES LAW

Paper – VII : Corporate Regulations

Time : 3 Hours

Maximum Marks: 80

Answer any five questions

All questions carry equal marks

- 1) Brief out the legislation concerning corporates.
- 2) Explain the importance of directive principles of state policy.
- 3) State the relevance of Industries (Development and Regulation) Act in modern times.
- 4) Examine the working of MRTP commission.
- 5) What are the functions of IRDA?
- 6) State the objects of FEMA. How far they were achieved?
- 7) Explain the prudential norms as prescribed by RBI to NBFCS.
- 8) Evaluate the functioning of SEBI.
- 9) What are the guidelines of SEBI relating to good corporate governance?
- 10) Describe the provisions of RBI (Amendment) Act, 1997.

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CORPORATE & SECURITIES LAW

Paper – ViwII : Securities Law and Investor Protection

Time : 3 Hours

Maximum Marks: 80

Answer any five questions

All questions carry equal marks

- 1) State the regulations concerning custodians.
- 2) What are the guidelines for Euro-issues?
- 3) Explain the guidelines relating to FIIS.
- 4) Bring the process of market makers.
- 5) Explain the working of stock bending scheme.
- 6) Describe the post-issue obligations of merchant bankers.
- 7) Discuss the functions of under writer.
- 8) How does SEBI regulate fraudulent and unfair trade practices relating to securities?
- 9) Explain the directions of RBI for NBFC to accept public deposits.
- 10) Clarity government securities and state their features.

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